

Rec'd PCT/PTO 11 JAN 2002

EXPRESS MAIL NO.: EL 477 033 709 US #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Lucente et al.

Serial No.: 09/890,908

Group Art Unit: TBA

Filed: February 4, 2000

Examiner: TBA

For: SYSTEM AND METHOD FOR
BILATERAL COMMUNICATION
BETWEEN A USER AND A
SYSTEM

Attorney Docket No.: 10244-006

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated September 11, 2001, applicants submit herewith an executed Declaration for the above-identified application. The time set for responding to this Notification was originally November 11, 2001. Accordingly, applicants submit herewith a Petition for Extension of Time (two months) which renders this Response timely.

Pursuant to 37 CFR 1.492(e), the fee believed required for this submission is \$65.00 (small entity). Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

01/17/2002 UEDUVIJE 00000075 161150 09890908
01 FC:254 65.00 CH

Date

1/11/02

Respectfully submitted,

W.C. D. by No. 36,196

Brian D. Coggio

Brian Coggio

(Reg. No. 27,624)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosure

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#3

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For: SYSTEM AND METHOD FOR
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Attorney Docket No.: 10244-006

REQUEST TO ESTABLISH SMALL ENTITY STATUS

Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

Sir:

Attorneys for Applicants respectfully request that Small Entity Status be established for the above-captioned application.

Respectfully submitted,

Date

1/11/02

cc. 29th No. 36,196

Brian D. Coggio

27,624

Brian Coggio

(Reg. No.)

PENNIE & EDMONDS LLP

1155 Avenue of the Americas

New York, New York 10036-2711

(212) 790-9090



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/890908	LUCENTE	M 10244-006-999✓
INTERNATIONAL APPLICATION NO.		

BRIAN D COGGIO
PENNIE & EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 10036 2711

PCT/US00/02906

I.A. FILING DATE	PRIORITY DATE
04 FEB 00	04 FEB 99

Oath/Decl. + Fee due 11/11/01 @

DATE MAILED: 11 SEP 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
 - ☒ Copy of the international application.
 - ☒ Oath or Declaration of inventors(s).
 - ☒ Copy of Article 19 amendments.
 - ☒ Priority Document.
 - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
 - ☒ Translation of Annexes to the International Preliminary Examination Report into English.
 - ☐ Indication of Small Entity Status.
 - ☐ Translation of the international application into English.
 - ☐ Translation of Article 19 amendments into English.
 - ☐ Other:
2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- ☐ U.S. Basic National Fee.
 - ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

- Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Barbara A. Campbell

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3631

REFERRED TO H. Lehnert RECD
SEP 17 2001
Pennie & Edmonds O.K. for filing

BEST AVAILABLE COPY